

FORM PTO-1390
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

SSM-487US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/720300

INTERNATIONAL APPLICATION NO.
PCT/EP99/04439INTERNATIONAL FILING DATE
June 25, 1999PRIORITY DATE CLAIMED
June 26, 1998TITLE OF INVENTION
DEVICE FOR INTERMITTENT COMPRESSION

APPLICANT(S) FOR DO/EO/US

Hans R. Brunner; Daniel Hayoz; Beat Steffen; Ueli Haueter; and Andreas Schaer

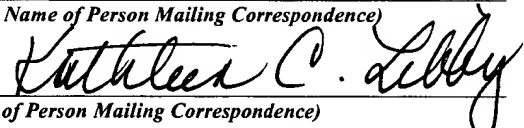
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern documents(s) or information included:

11. ☒ An Information Disclosure Statement under 37 U.S.C. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
- ☒ Other items or information: Unexecuted Declaration/Power of Attorney

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) To Be Assigned 09/720300		INTERNATIONAL APPLICATION NO. PCT/EP99/04439		ATTORNEY DOCKET NUMBER SSM-487US																																																																													
<div>16. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)): <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482)not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</div> <div style="text-align: right; margin-top: 10px;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				<div>CALCULATIONS PTO USE ONLY</div> <div style="border: 1px solid black; height: 150px; margin-top: 5px;"></div>																																																																													
				<div>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</div> <table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:20%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">EXTRA NUMBER</th><th style="width:20%;">RATE</th><th style="width:10%;"></th><th style="width:10%;"></th></tr></thead><tbody><tr><td>Total claims</td><td>18- 20 =</td><td></td><td>X \$18.00</td><td>\$</td><td></td></tr><tr><td>Independent claims</td><td>2 - 3 =</td><td></td><td>X \$80.00</td><td>\$</td><td></td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable) <input type="checkbox"/></td><td>+ \$270.00</td><td>\$</td><td></td></tr><tr><td colspan="4">TOTAL OF ABOVE CALCULATIONS =</td><td>\$</td><td></td></tr><tr><td colspan="4"><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td><td>\$ 430</td><td></td></tr><tr><td colspan="4">SUBTOTAL =</td><td>\$</td><td></td></tr><tr><td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(f)).</td><td>\$</td><td></td></tr><tr><td colspan="4"></td><td>+</td><td></td></tr><tr><td colspan="4">TOTAL NATIONAL FEE =</td><td>\$</td><td></td></tr><tr><td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td><td>+</td><td></td></tr><tr><td colspan="4">TOTAL FEES ENCLOSED =</td><td>\$ 430</td><td></td></tr><tr><td colspan="4" rowspan="2"></td><td style="text-align: right;">Amount to be refunded:</td><td>\$</td></tr><tr><td style="text-align: right;">Charged:</td><td>\$</td></tr></tbody></table>		CLAIMS	NUMBER FILED	EXTRA NUMBER	RATE			Total claims	18- 20 =		X \$18.00	\$		Independent claims	2 - 3 =		X \$80.00	\$		MULTIPLE DEPENDENT CLAIM(S) (if applicable) <input type="checkbox"/>			+ \$270.00	\$		TOTAL OF ABOVE CALCULATIONS =				\$		<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 430		SUBTOTAL =				\$		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(f)).				\$						+		TOTAL NATIONAL FEE =				\$		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+		TOTAL FEES ENCLOSED =				\$ 430					
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<div>a. <input checked="" type="checkbox"/> A check in the amount of \$430 to cover the above fees is enclosed.</div> <div>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</div> <div>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0350. A duplicate copy of this sheet is enclosed.</div>																																																																																	
<div>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</div>																																																																																	
<div>SEND ALL CORRESPONDENCE TO: Christopher R. Lewis Ratner & Prestia Suite 301 One Westlakes, Berwyn P.O. Box 980 Valley Forge, PA 19482</div> <div style="text-align: right; margin-top: 20px;"><div> SIGNATURE</div><div>Christopher R. Lewis NAME</div><div>36,201 REGISTRATION NUMBER</div><div>December 22, 2000 DATE</div></div>																																																																																	

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. SSM-487US
Applicant(s): Hans R. Brunner et al.			
Serial No. To Be Assigned	Filing Date Herewith	Examiner	Group Art Unit 09/720300
Invention: DEVICE FOR INTERMITTENT COMPRESSION			
I hereby certify that the following correspondence: <div style="border: 1px solid black; padding: 5px; margin: 10px 0;">U.S. National Phase Application with Form PTO-1390 and Indicated Enclosures</div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"><u>December 22, 2000</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p>Kathleen C. Libby <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p> <i>(Signature of Person Mailing Correspondence)</i></p><p>EL769592219US <i>("Express Mail" Mailing Label Number)</i></p></div>			
Note: Each paper must have its own certificate of mailing.			